



# CREEKSTONE

FORM CRS -- CUSTOMER RELATIONSHIP SUMMARY

August 20, 2023

## INTRODUCTION

Creekstone Capital LLC is registered with the Securities and Exchange Commission (SEC) as an investment adviser. However, some of our investment adviser representatives may also provide brokerage services through a separate registration with a broker-dealer. Investment advisory services and fees differ from brokerage services and fees, and it is important for you to understand the differences. Free and simple tools are available to research firms and financial professionals at <http://Investor.gov/CRS> which also provides educational materials about investment advisers, broker-dealers, and investing.

## RELATIONSHIPS AND SERVICES

### What investment services and advice can you provide me?

- **Investment Advisory:** We offer **non-discretionary investment advisory services** to accredited investors and institutional family offices. You may decide what investments to buy or sell based upon our advice ("**non-discretionary investment advisory services**"). For our non-discretionary investment advisory services, we will discuss your investment and overall financial goals to determine how active you will want us to be in recommending investments or, alternatively, if you simply want to consult with us before making investments. When providing advisory services, we are held to a fiduciary standard that covers our investment advisory relationship with you. As fiduciaries, investment advisers are required to act in the best interest of their clients and not place their own interests ahead of their clients. However, at times our interests can conflict with your interests. When we provide recommendations, we must eliminate, mitigate, or inform you of these conflicts, depending on the nature of the conflict.
- **Monitoring:** Regular monitoring of investment portfolios, with frequency and scope defined in our agreement.

### QUESTIONS TO ASK US:

- Given my needs, why should I choose your advisory services?
- How will you evaluate investments to recommend to me?
- What is your relevant experience, including your licenses, education, and other qualifications?

## FEES, COSTS, CONFLICTS, AND STANDARD OF CONDUCT

### What fees will I pay?

For most advisory services, you will pay an ongoing recurring fee based on the value of cash and investments under advisement or an hourly fee. The fees for investment advisory services vary and are negotiable. The amount you pay will depend, for example, on the services you receive and the amount of assets in your account. You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

- **Percentage of Assets Under Advisement (AUA):** Creekstone will charge an annual management fee based on a predetermined percentage of the client's assets under advisement. This fee will be



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billed quarterly in arrears and calculated based on the average daily balance of the client's account during the quarter. This structure aligns our interests with those of our clients, as our compensation is directly tied to the growth and preservation of their assets.

- **Hourly Fee:** Creekstone may also charge an hourly fee for its investment advisory services, particularly for clients with unique or specialized requirements. This fee will be determined and agreed upon with the client in advance, based on the scope and complexity of the engagement.
- **Conflicts of Interest:** For most advisory services, you will pay an ongoing recurring fee based on the value of cash and investments under advisement. The more assets there are in your advisory account, the more you will pay in fees, and we may therefore have an incentive to encourage you to increase the amount under advisement.

### **WHAT ARE YOUR LEGAL OBLIGATIONS TO ME WHEN ACTING AS MY INVESTMENT ADVISER? HOW ELSE DOES YOUR FIRM MAKE MONEY AND WHAT CONFLICTS OF INTEREST DO YOU HAVE?**

When we act as your investment adviser, we have to act in your best interest at all times, adhering to a fiduciary standard of conduct, and not put our interest ahead of yours. We must also eliminate or disclose conflicts of interest that might affect our services. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the recommendations and investment advice we provide you. Here is an example to help you understand what this means:

Companies seeking capital may retain Creekstone to make introductions to prospective investors ("Capital Introduction Services"). Creekstone is paid by the company for Capital Introduction Services, typically as a percentage of the capital raised. If Creekstone introduces you to a company that has retained this capital introduction service, Creekstone has a financial incentive for you to make an investment. To mitigate this conflict of interest, Creekstone will notify you that such an arrangement exists.

#### **QUESTIONS TO ASK US:**

- Help me understand how these fees and costs might affect my investments. If I retain you advise on \$10,000 of investments, how much will go to fees and costs, and how much will be invested for me?
- What is your legal obligation to act in my best interest?

#### **DISCIPLINARY HISTORY**

We have no legal or disciplinary history.

#### **ADDITIONAL INFORMATION**

For additional information about our services, fees, and conflicts, please contact us at [rconley@creekstonecap.com](mailto:rconley@creekstonecap.com). You may also request a copy of our ADV Part 2A brochure. You may also research the background of our firm and people at <https://brokercheck.finra.org>

#### **QUESTIONS TO ASK US:**

- Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer?
- Who can I talk to if I have concerns about how this person is treating me?